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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/785,237	02/20/2001	Werner Blumenstock	Q63062	4413

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WASHINGTON, DC 20037-3213

EXAMINER
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BOUTAH, ALINA A

ART UNIT	PAPER NUMBER
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2143

DATE MAILED: 07/22/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/785,237

Applicant(s)

BLUMENSTOCK, WERNER

Examiner

Alina N Boutah

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 May 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-11 and 26-32 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 and 26-32 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 2/20/01.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

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## **DETAILED ACTION**

### ***Response to Amendment***

This action is in response to Applicant's amendment filed May 9, 2005.

Applicant has cancelled non-elected claims 12-25. Claims 26-32 have been newly added.

Claims 1-11 and 26-32 are pending in the present application.

### ***Specification***

Applicant has amended the specification, therefore the objection is now withdrawn.

### ***Drawings***

Applicant has amended the specification; therefore the objection to the drawing is now withdrawn.

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-11 are rejected under 35 U.S.C. 102(b) as being anticipated by USPN 5,715,393 issued to Naugle.

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(Amended) Regarding claim 1, Naugle teaches a system operable to generate a message related to a control unit of an automation system, the system comprising:

a data transmission system in communication with the control unit and in further communication with a receiving device (figure 2),

wherein the message is an e-mail message generated in response to an operation of the automation system and the data transmission system is an Intranet and/or the Internet and the control unit comprises means for generating the message for a specific receiving device addressable with a pre-defined address and wherein further the message has an address field to identify a recipient of the corresponding message, and the receiving device has means to receive the message sent by the control unit and automatically respond to the message (figure 2; abstract; example of status email message on col. 4, line 38 to col. 5, line 22).

Regarding claim 2, Naugle teaches the system as claimed in claim 1, wherein the message has an identification field for inserting a message identification that is individually assigned to each message and the control unit comprises means to receive an acknowledgment returned by the receiving device which is intended for the control unit, said acknowledgment comprising the identification associated with the message as an acknowledgment identification, and the control unit further comprising means to compare the acknowledgment identification contained in acknowledgment with the message identification contained in the transmitted message (example of status email message on col. 4, line 38 to col. 5, line 22).

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Regarding claim 3, Naugle teaches a system as claimed in claim 2, wherein the control unit further comprises means for marking the message as acknowledged if the means to compare determines that the control unit has received an acknowledgment with the message identification assigned to the associated transmitted message (example of status email message on col. 4, line 38 to col. 5, line 22).

Regarding claim 4, Naugle teaches a system as claimed in claim 1, wherein the control unit is a stored-program control unit (abstract).

Regarding claim 5, Naugle teaches a control unit of an automation system comprising a transmitting device operable to generate and transmit an alarm or fault message of the automation system, via a data transmission system, to a receiving device capable of being linked to said data transmission system, wherein the transmitting device comprises means to generate the message as an e-mail message directed through the data transmission system embodied as an Intranet and/or the Internet, wherein the message comprises an address field to identify a recipient of the corresponding message (figure 2; abstract; col. 1, lines 35-50; example of status email message on col. 4, line 38 to col. 5, line 22).

Regarding claim 6, Naugle teaches a control unit as claimed in claim 5, wherein said control unit is a stored-program control unit (abstract).

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Regarding claim 7, Naugle teaches a control unit as claimed in claim 5, wherein the message comprises an identification field for a message identification individually assigned to each message, the control unit further comprising; means to receive an acknowledgment returned by the receiving device to the control unit, said acknowledgment comprising the identification associated with the underlying message as the acknowledgment identification, and means to compare the identification contained in the acknowledgment with the identification contained in the transmitted message (example of status email message on col. 4, line 38 to col. 5, line 22).

(Amended) Regarding claim 8, Naugle teaches a method for producing a message of a control unit of an automation system, the method comprising: sending the message via a data system to a receiving device capable of being linked to the data system, wherein the message is an e-mail message transmitted via an Intranet and/or the Internet to a predetermined receiving device, and wherein the e-mail message is generated in response to an operation of the automation system (figure 2: 18-20).

Regarding claim 9, Naugle teaches the method as claimed in claim 8, wherein the control unit enters a message identification individually assigned to each message into an identification field of the message and the receiving device, after receipt of a message, automatically generates and returns an acknowledgment to the control unit, wherein said acknowledgment contains the identification associated with the underlying message as the acknowledgment identification, and the control unit compares the acknowledgment identification contained in the acknowledgment with the message identification contained

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in the transmitted message (example of status email message on col. 4, line 38 to col. 5, line 22).

Regarding claim 10, Naugle teaches the method as claimed in claim 7, wherein receipt of a message is confirmed in the control unit if the control unit received an acknowledgment with the message identification assigned to the associated message (example of status email message on col. 4, line 38 to col. 5, line 22).

Regarding claim 11, Naugle teaches the method as claimed in claim 7, wherein the method is used to generate a fault and/or alarm message of a stored-program control unit, a numerical control unit and/or a robot control unit in connection with an automation system (col. 1, lines 35-50).

Regarding claim 26, Naugle teaches the system according to claim 1, wherein the control unit monitors the automation system in response to a fault detected in the automation system, generates the e-mail message (abstract).

Regarding claim 27, Naugle teaches the system according to claim 26, wherein the acknowledge message provides the control unit with instructions to execute a predetermined action in response to the detected fault (col. 5, lines 23-53).

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Regarding claim 28, Naugle teaches the system according to claim 1, wherein the response to the message comprises control commands in a programming language (col. 2, lines 41-57).

Regarding claim 29, Naugle teaches the system according to claim 28, wherein the control commands are automatically executed by the control unit (claim 3).

Regarding claim 30, Naugle teaches the system according to claim 1, wherein the control unit receives the response from the receiving device, the status of the e-mail message is automatically changed to acknowledged enabling management of the e-mail message (figure 2: 18-20).

Regarding claim 31, Naugle teaches the system according to claim 1, wherein the e-mail message is an alarm message generated in response to the operation of the automation system when the control unit detects at least one of a fault occurring in the automation system and an attainment of a predetermined threshold to the operation of the automation system (col. 1, lines 29-45).

Regarding claim 32, Naugle teaches the system according to claim 1, wherein the receiving device automatically responds to the message by sending the control unit a reply message (figure 2: 18-20).



***Response to Arguments***

Applicant's arguments filed May 9, 2005 have been fully considered but they are not persuasive.

In response to Applicant's argument that "Naugle's discussion of message lacks generating a status message in response to the operation of the automation system," the Patent Office respectfully submits that this is taught in figure 2, elements 16-20 of Naugle.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

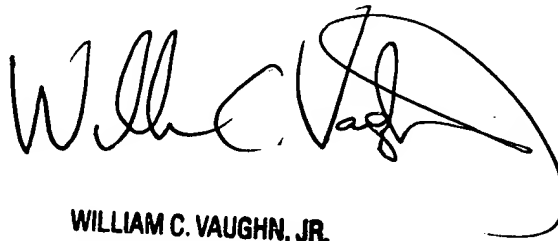
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alina N. Boutah whose telephone number is 571-272-3908. The examiner can normally be reached on Monday-Friday (9:00 am - 5:00 pm).

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David A. Wiley can be reached on 571-272-3923. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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A handwritten signature in black ink, appearing to read 'William C. Vaughn, Jr.', with a large, stylized flourish at the end.

WILLIAM C. VAUGHN, JR.  
PRIMARY EXAMINER